



Redbridge Rule 606 Disclosure: 2020 Q1

Redbridge Securities LLC (Redbridge) has prepared this report pursuant to a U.S. Securities and Exchange Commission rule requiring all brokerage firms to make available to the public quarterly reports about their order routing practices.

The report provides information on the routing of "non-directed orders" -- any order that the customer has not specifically instructed to be routed to a particular venue for execution. For these non-directed orders, Redbridge has selected the execution venue on behalf of its customers.

The report is divided into five sections:

1. New York Stock Exchange listed securities
2. Nasdaq Stock Market listed securities
3. NYSE MKT Exchange and regional exchanges listed securities
4. OTC PINK Marketplace
5. Exchange-listed Options

For each section, this report identifies the venues most often selected by Redbridge, sets forth the percentage of various types of orders routed to the venues, and discusses the material aspects of Redbridge's relationship with the venues.

Questions regarding this report should be addressed to the Redbridge Compliance Department at support@rbsecurities.com.



1. New York Stock Exchange Listed Securities

For quarter ending 03/31/2020				
Routing Venue	Non-Directed Orders (%)	Market Orders (%)	Limit Orders (%)	Other Orders (%)
Apex Clearing Corporation	100.000	5.274	94.184	0.542

Summary Statistics:

Please refer to our Clearing Agent, Apex Clearing Corporation for detailed Routing Information at:

<https://www.apexclearing.com/disclosures/sec-rule-606-and-607/>

Payments received from Apex Clearing Corporation averaged less than \$0.00075 per share for the period Q1 2020.



2. NASDAQ Stock Exchange Listed Securities

For quarter ending 03/31/2020				
Routing Venue	Non-Directed Orders (%)	Market Orders (%)	Limit Orders (%)	Other Orders (%)
Apex Clearing Corporation	100.000	6.290	93.145	0.565

Summary Statistics:

Please refer to our Clearing Agent, Apex Clearing Corporation for detailed Routing Information at:

<https://www.apexclearing.com/disclosures/sec-rule-606-and-607/>

Payments received from Apex Clearing Corporation averaged less than \$0.00073 per share for the period Q1 2020.



3. NYSE MKT / Regional Stock Exchange Listed Securities

For quarter ending 03/31/2020				
Routing Venue	Non-Directed Orders (%)	Market Orders (%)	Limit Orders (%)	Other Orders (%)
Apex Clearing Corporation	100.000	11.686	87.962	0.352

Summary Statistics:

Please refer to our Clearing Agent, Apex Clearing Corporation for detailed Routing Information at:

<https://www.apexclearing.com/disclosures/sec-rule-606-and-607/>

Payments received from Apex Clearing Corporation averaged less than \$0.00058 per share for the period Q1 2020.



4. OTC PINK Marketplace

For quarter ending 03/31/2020				
Routing Venue	Non-Directed Orders (%)	Market Orders (%)	Limit Orders (%)	Other Orders (%)
Apex Clearing Corporation	100.000	4.290	95.710	0.000

Summary Statistics:

Please refer to our Clearing Agent, Apex Clearing Corporation for detailed Routing Information at:

<https://www.apexclearing.com/disclosures/sec-rule-606-and-607/>

Payments received from Apex Clearing Corporation averaged less than \$0.01056 per share for the period Q1 2020.



5. Exchange-listed Options

For quarter ending 03/31/2020				
Routing Venue	Non-Directed Orders (%)	Market Orders (%)	Limit Orders (%)	Other Orders (%)
Apex Clearing Corporation	100.000	0.047	99.953	0.000

Summary Statistics:

Please refer to our Clearing Agent, Apex Clearing Corporation for detailed Routing Information at:

<https://www.apexclearing.com/disclosures/sec-rule-606-and-607/>

Payments received from Apex Clearing Corporation averaged less than \$0.16611 per share for the period Q1 2020.