



## Redbridge Rule 606 Disclosure: 2019 Q3

Redbridge Securities LLC (Redbridge) has prepared this report pursuant to a U.S. Securities and Exchange Commission rule requiring all brokerage firms to make available to the public quarterly reports about their order routing practices.

The report provides information on the routing of "non-directed orders" -- any order that the customer has not specifically instructed to be routed to a particular venue for execution. For these non-directed orders, Redbridge has selected the execution venue on behalf of its customers.

The report is divided into three sections:

1. New York Stock Exchange listed securities
2. Nasdaq Stock Market listed securities
3. NYSE MKT Exchange and regional exchanges listed securities
4. OTC PINK Marketplace
5. Exchange-listed Options

For each section, this report identifies the venues most often selected by Redbridge, sets forth the percentage of various types of orders routed to the venues, and discusses the material aspects of Redbridge's relationship with the venues.

Questions regarding this report should be addressed to the Redbridge Compliance Department at [support@rbsecurities.com](mailto:support@rbsecurities.com).



## 1. New York Stock Exchange Listed Securities

For quarter ending 09/30/2019				
Routing Venue	Non-Directed Orders (%)	Market Orders (%)	Limit Orders (%)	Other Orders (%)
Apex Clearing Corporation	100.000	8.738	90.326	0.935

### Summary Statistics:

Please refer to our Clearing Agent, Apex Clearing Corporation for detailed Routing Information at:

<https://www.apexclearing.com/disclosures/sec-rule-606-and-607/>

Payments received from Apex Clearing Corporation averaged less than \$0.00119 per share for the period Q3 2019.



## 2. NASDAQ Stock Exchange Listed Securities

For quarter ending 09/30/2019				
Routing Venue	Non-Directed Orders (%)	Market Orders (%)	Limit Orders (%)	Other Orders (%)
Apex Clearing Corporation	100.000	8.747	90.447	0.806

### Summary Statistics:

Please refer to our Clearing Agent, Apex Clearing Corporation for detailed Routing Information at:

<https://www.apexclearing.com/disclosures/sec-rule-606-and-607/>

Payments received from Apex Clearing Corporation averaged less than \$0.00118 per share for the period Q3 2019.



### 3. NYSE MKT / Regional Stock Exchange Listed Securities

For quarter ending 09/30/2019				
Routing Venue	Non-Directed Orders (%)	Market Orders (%)	Limit Orders (%)	Other Orders (%)
Apex Clearing Corporation	100.000	20.897	78.129	0.974

#### Summary Statistics:

Please refer to our Clearing Agent, Apex Clearing Corporation for detailed Routing Information at:

<https://www.apexclearing.com/disclosures/sec-rule-606-and-607/>

Payments received from Apex Clearing Corporation averaged less than \$0.00116 per share for the period Q3 2019.



#### 4. OTC PINK Marketplace

For quarter ending 09/30/2019				
Routing Venue	Non-Directed Orders (%)	Market Orders (%)	Limit Orders (%)	Other Orders (%)
Apex Clearing Corporation	100.000	0.806	99.194	0.000

#### Summary Statistics:

Please refer to our Clearing Agent, Apex Clearing Corporation for detailed Routing Information at:

<https://www.apexclearing.com/disclosures/sec-rule-606-and-607/>

Payments received from Apex Clearing Corporation averaged less than \$0.00504 per share for the period Q3 2019.



## 5. Exchange-listed Options

For quarter ending 09/30/2019				
Routing Venue	Non-Directed Orders (%)	Market Orders (%)	Limit Orders (%)	Other Orders (%)
Apex Clearing Corporation	100.000	0.000	100.000	0.000

### Summary Statistics:

Please refer to our Clearing Agent, Apex Clearing Corporation for detailed Routing Information at:

<https://www.apexclearing.com/disclosures/sec-rule-606-and-607/>

Payments received from Apex Clearing Corporation averaged less than \$0.18571 per share for the period Q3 2019.