



Redbridge Rule 606 Disclosure: 2021 Q1

Redbridge Securities LLC (Redbridge) has prepared this report pursuant to a U.S. Securities and Exchange Commission rule requiring all brokerage firms to make available to the public quarterly reports about their order routing practices.

The report provides information on the routing of "non-directed orders" -- any order that the customer has not specifically instructed to be routed to a particular venue for execution. For these non-directed orders, Redbridge has selected the execution venue on behalf of its customers.

The report is divided into five sections:

1. New York Stock Exchange listed securities
2. Nasdaq Stock Market listed securities
3. NYSE MKT Exchange and regional exchanges listed securities
4. OTC PINK Marketplace
5. Exchange-listed Options

For each section, this report identifies the venues most often selected by Redbridge, sets forth the percentage of various types of orders routed to the venues, and discusses the material aspects of Redbridge's relationship with the venues.

Questions regarding this report should be addressed to the Redbridge Compliance Department at support@rbsecurities.com.



1. New York Stock Exchange Listed Securities

For quarter ending 03/31/2021				
Routing Venue	Non-Directed Orders (%)	Market Orders (%)	Limit Orders (%)	Other Orders (%)
Apex Clearing Corporation	100.000	10.282	89.040	0.679

Summary Statistics:

Please refer to our Clearing Agent, Apex Clearing Corporation for detailed Routing Information at:

<https://www.apexclearing.com/disclosures/sec-rule-606-and-607/>

Payments received from Apex Clearing Corporation averaged less than \$0.00064 per share for the period Q1 2021.

2. NASDAQ Stock Exchange Listed Securities

For quarter ending 03/31/2021				
Routing Venue	Non-Directed Orders (%)	Market Orders (%)	Limit Orders (%)	Other Orders (%)
Apex Clearing Corporation	100.000	9.602	89.791	0.607

Summary Statistics:

Please refer to our Clearing Agent, Apex Clearing Corporation for detailed Routing Information at:

<https://www.apexclearing.com/disclosures/sec-rule-606-and-607/>

Payments received from Apex Clearing Corporation averaged less than \$0.00056 per share for the period Q1 2021.



3. NYSE MKT / Regional Stock Exchange Listed Securities

For quarter ending 03/31/2021				
Routing Venue	Non-Directed Orders (%)	Market Orders (%)	Limit Orders (%)	Other Orders (%)
Apex Clearing Corporation	100.000	11.651	87.645	0.703

Summary Statistics:

Please refer to our Clearing Agent, Apex Clearing Corporation for detailed Routing Information at:

<https://www.apexclearing.com/disclosures/sec-rule-606-and-607/>

Payments received from Apex Clearing Corporation averaged less than \$0.00042 per share for the period Q1 2021.



4. OTC PINK Marketplace

For quarter ending 03/31/2021				
Routing Venue	Non-Directed Orders (%)	Market Orders (%)	Limit Orders (%)	Other Orders (%)
Apex Clearing Corporation	100.000	0.104	99.896	0.000

Summary Statistics:

Please refer to our Clearing Agent, Apex Clearing Corporation for detailed Routing Information at:

<https://www.apexclearing.com/disclosures/sec-rule-606-and-607/>

Payments received from Apex Clearing Corporation averaged less than \$0.00094 per share for the period Q1 2021.

5. Exchange-listed Options

For quarter ending 03/31/2021				
Routing Venue	Non-Directed Orders (%)	Market Orders (%)	Limit Orders (%)	Other Orders (%)
Apex Clearing Corporation	100.000	0.047	99.953	0.000

Summary Statistics:

Please refer to our Clearing Agent, Apex Clearing Corporation for detailed Routing Information at:

<https://www.apexclearing.com/disclosures/sec-rule-606-and-607/>

Payments received from Apex Clearing Corporation averaged less than \$0.17580 per share for the period Q1 2021.