



Rule 606 Disclosure: 2021 Q4

Redbridge Securities, LLC (“Redbridge”) has prepared this report pursuant to a U.S. Securities and Exchange Commission rule requiring all brokerage firms to make available to the public quarterly reports about their order routing practices.

Redbridge routes its orders exclusively to Apex Clearing Corporation (“Apex”) as non-directed orders. Redbridge does not select the execution venues to which Apex routes Rule 606 reportable securities for execution. Apex may not be the ultimate execution venue for such orders and orders may be further routed to execution venues. As a result, Apex is better positioned to prepare a quarterly 606 report which may be found [here](#).

Apex may receive remuneration from executing venues through maker-taker pricing or other applicable execution venue fees or rebates. Redbridge may share in payments or rebates received by Apex. Questions regarding this report should be addressed to the Redbridge Compliance Department at support@rbsecurities.com.

Payment received to Redbridge by Apex is available in the following sections:

1. New York Stock Exchange listed securities
2. Nasdaq Stock Market listed securities
3. NYSE MKT Exchange and regional exchanges listed securities
4. OTC PINK Marketplace
5. Exchange-listed Options

1. New York Stock Exchange Listed Securities

For quarter ending 12/31/2021				
Routing Venue	Non-Directed Orders (%)	Market Orders (%)	Limit Orders (%)	Other Orders (%)
Apex Clearing Corporation	100.000	9.043	90.366	0.591

Payments received from Apex averaged less than \$0.00072 per share for the period Q4 2021.

2. NASDAQ Stock Exchange Listed Securities

For quarter ending 12/31/2021				
Routing Venue	Non-Directed Orders (%)	Market Orders (%)	Limit Orders (%)	Other Orders (%)
Apex Clearing Corporation	100.000	5.831	93.755	0.414

Payments received from Apex averaged less than \$0.00034 per share for the period Q4 2021.

3. NYSE MKT / Regional Stock Exchange Listed Securities

For quarter ending 12/31/2021				
Routing Venue	Non-Directed Orders (%)	Market Orders (%)	Limit Orders (%)	Other Orders (%)
Apex Clearing Corporation	100.000	12.170	87.469	0.361

Payments received from Apex averaged less than \$0.00056 per share for the period Q4 2021.



4. OTC PINK Marketplace

For quarter ending 12/31/2021				
Routing Venue	Non-Directed Orders (%)	Market Orders (%)	Limit Orders (%)	Other Orders (%)
Apex Clearing Corporation	100.000	4.050	95.872	0.078

Payments received from Apex averaged less than \$0.00038 per share for the period Q4 2021.

5. Exchange-listed Options

For quarter ending 12/31/2021				
Routing Venue	Non-Directed Orders (%)	Market Orders (%)	Limit Orders (%)	Other Orders (%)
Apex Clearing Corporation	100.000	2.172	97.828	0.000

Payments received from averaged less than \$0.16137 per share for the period Q4 2021.