



SAFEGUARDING YOUR PRIVACY CONSUMER NOTICE CUSTOMER IDENTIFICATION PROGRAM NOTICE CUSTOMER INQUIRY NOTICE

Redbridge Securities LLC (“Redbridge Securities”) is committed to safeguarding the confidentiality and privacy of the information we maintain on all our clients. This notice describes how we manage and safeguard information about you, our individual client, who seeks or obtains financial products or services from us primarily for personal, family or household purposes. It covers how we protect the information which we have about our current and former individual clients.

Redbridge Securities does not share any client information with nonaffiliated third parties for marketing purposes.

We never sell any client information to anyone for any reason. Redbridge Securities will share information with third parties that you have identified as having a commercial relationship with you and approve sharing information. If your account is managed by, or associated with, a Registered Investment Adviser, then Redbridge Securities may share any and all information regarding your account to your advisor on record. This site collects IP addresses or domain names anytime a Web page is requested from our server. This information is used to analyze site traffic. The URL of a referring Web page also may be logged for the same purpose.

Information provided by site visitors is collected to assist us in the performance of services offered by Redbridge Securities. If you send us an email, your email address will be displayed in the message that we receive. We use this information to reply to your inquiry and we keep your email message for our records and future reference as required by regulation. It is the privacy policy of Redbridge Securities not to share that information with other unaffiliated companies or organizations, unless we are required to do so by law, court order or regulatory requirements.

Collected information is not shared with other unaffiliated organizations for commercial purposes. Frequently, users voluntarily provide nonpublic personal information to Redbridge Securities. If you provide your mailing address to us online, then you may receive periodic mailings from us with information relating to the business and services of Redbridge Securities. Likewise, if you provide us your telephone number online, then you may receive telephone contact from us with information relating to the products and services of Redbridge Securities. As e-commerce evolves, we shall make the appropriate updates of security policy changes on our website for your review. The success of our business relationship with online clients depends on our ability to maintain the trust of our users. If you have any questions, please contact us.

If you are an international user, you should not that by providing your personal information, you are: (1) permitting the transfer of your personal information to the United States which may not have the same data protection laws as the country in which you reside; and (2) permitting the use of your personal information in accordance with this privacy policy.

If you are a current Redbridge Securities client and wish to opt-out, you can do so by emailing service@rbsecurities.com.

IMPORTANT INFORMATION ABOUT PROCEDURES FOR OPENING A NEW ACCOUNT

To help the government fight the funding of terrorism and money laundering activities, Federal law requires all financial institutions to obtain, verify, and record information that identifies each person who opens an account.

What this means for you: When you open an account, we will ask for your name, address, date of birth and other information that will allow us to identify you. We may also ask to see your driver's license or other identifying documents.

CUSTOMER INQUIRY NOTICE

SEC Rule 17a-3(a) (18) requires our Firm to maintain a record indicating that each client or investor has been provided with a notice containing the address to which you may transmit any inquiries that you may have respecting our Firm. Customer inquiries may be directed to: service@rbsecurities.com.